

ITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DOUGLAS S. PARKER ET AL.

Serial No.: 10/840,216

Filed: MAY 7, 2004

For:

SYSTEM AND METHOD FOR

ANALYZING AN OPERATION OF

AN ORGANIZATION

Art Unit: 3623

Examiner: MEINECKE DIAZ,

SUSANNA M.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each non U.S. reference is enclosed herewith.

Please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 03-3975, under Order No. SPT0001-US and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Serial No.: 10/840,216 Attorney's Docket No.: SPT0001-US

Art Unit: 3623 Page 2

Inventor: Douglas S. PARKER et al.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

PILLSBURY WINTHROP SHAW PITTMAN LLP

1650 Tysons Boulevard

McLean, VA 22102 Tel: 703/770-7900 Respectfully submitted,

DOUGLAS S. PARKER ET AL.

Date: December 6, 2005

For: Michael Bednarek

Registration No. 32,329

MB/SPA/ggb

Customer No. 28970

					ATTY DOCKET NO. SPT0001-US		SERIAL NO.	840,216	
	INFO	RMATION DISCLOSURI	E CITAZION E	A Sas		D ET AT		010,210	
		(Use several sheets if neces	ssary)	\	DOUGLAS S. PARKE	RETAL.	GROUP		
			DEC 0 6	5002 R	MAY 7, 2004			3623	
			U.S	. PARENT	DOCUMENTS				
'EXAMINER		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
									-
				Į.	33				
		· · · · · · · · · · · · · · · · · · ·							
				:			†		
			4						
			FORE	IGN PATE	NT DOCUMENTS	ļ			
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION
							-	YES	NO
							_		
						-	_		
									
			<u> </u>						
		OTHER DOCUM	•	<u> </u>	Title, Date, Pertinent F				
		28, 2003, 27 pages.			ntment Gap The Value Cha				_
					e've Been, Current Status				
			_		ions" by T. W. Nagel, pub				
			· ·		k Allotment, Shaw Pittma aw Pittman LLP (Decemb)) - 3 pages	i.
EXAMINE	₹		- •		DATE CONSIDERED				
		if reference considered, wheth e copy of this form with next co			ance with MPEP 609; Draw I	ine through o	citation if not in co	onform.ance	and not
				= (0CA)7.	2-22	N. Ports and	ot all a some and		

Form PTO-A820 (also form PTO-1449)

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

· · · · ·			ATTY DOCKET NO. SERIAL NO. 10/840,216						
	INFOR	RMATION DISCLOSURE (Use several sheets if necess	CITATION P						
		(Coo coveral blicets in necess	DEC 0	40 85 6 2005	FILING MAY 7, 2004		GROUP	3623	
			1 .		DOCUMENTS			3023	-
*EXAMINER	I I	DOCUMENT NUMBER	DATE U.S.	DEMINA		CLASS	SUBCLASS	FILING DAT	E
INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	IF APPROP	RIATE
						ļ			
					30 TO BY THE W				
Ü					,				
									
	<u> </u>		FOREI	GN PATE	NT DOCUMENTS	-11 1/10:	······································		
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO
								123	NO
						+			
	- 1								
		OTHER DOCUME			, Title, Date, Pertinent				
		310 pages. A book, Information Sys (John Wiley & Sons 199.	tems Outsourcing 3).	g: Myths,	Guide (John Angel ed., Th Methods and Benefits (Ar Metaphors and Realities (John Wiley S	eries in Inform	ation Syste	ems)
		John K. Halvey and Bar	bara Melby.		sactions; Process Strategi				
		Building Up IT Organiz	ations through Or	itsourcing	econstruction A Change M g ", dated May 7, 2003 - 2	1 pages.	Approach IOI 10	aring Don	ailu
EXAMINER			DATE CONSIDERED						
		f reference considered, whethe copy of this form with next con			ance with MPEP 609; Draw	line through ci	itation if not in co	onformance	and not

Form PTO-A820 (also form PTO-1449)

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

ATTY DOCKET NO. SERIAL NO. ... **SPT0001-US** 10/840,216 INFORMATION DISCLOSURE CITATION DOUGLAS S. PARKER ET AL. (Use several sheets if necessary) FILING GROUP MAY 7, 2004 3623 **U.S. PATENT DOCUMENTS** FILING DATE *EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE INITIAL

	FORE	IGN PATENT DOCUMENTS				
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
DOGGINETY NOMBER	5/112		02.00	00505.00	YES	NO
						ł
				<u> </u>		ļ

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
_	Ţ -	Schedule O - Software Responsibility Matrix - Shaw Pittman LLP (December 1, 1998), 3 pages.
		Exhibit A-5 Transactions Services Responsibilities Matrix - Shaw Pittman LLP - (December 21, 2001), 13 pages A-1 -A-13.
		Schedule N - Equipment and Software Responsibility Matrices - Shaw Pittman LLP - (May 3, 2000), 5 pages N-1 - N-5.

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449)

Copyright 1994-97 LegalStar

P09C/REV03

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE